



GREAT OAKS WATER COMPANY

P.O. Box 23490
San Jose, CA 95153
(408) 227-9540
tguster@greatoakswater.com

April 11, 2023

California Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102-3298

Advice Letter 313-W Great Oaks Water Company (U-162-W)

Great Oaks Water Company (Great Oaks) submits this Tier 1 advice letter filing implementing new tariffs and rates as authorized by the California Public Utilities Commission's (Commission) Decision (D.) 23-04-004 on Great Oaks' 2021 General Rate Case (GRC) Application (A.) 21-07-001. Proposed tariff sheets reflective of the changes in Great Oaks' preliminary statement and rates transmitted with this Advice Letter will be applicable throughout Great Oaks' entire service area are listed in the following table and attached hereto as Exhibit A.

Sheet Number(s)	Title of Tariff Sheet	Canceling Sheet No(s).
1004-W	Preliminary Statement Santa Clara Valley Water District Litigation Memorandum Account	465-W 466-W
1005-W	Preliminary Statement Customer Assistance Program Surcharge Balancing Account	794-W
1006-W	Rule No. 22 Customer Assistance Program	495-W
1007-W 1008-W	Preliminary Statement Pension Expense Balancing Account School Lead Testing Memorandum Account	795-W 837-W

1009-W	Preliminary Statement Credit Card Pilot Program Memorandum Account	885-W
1010-W	Preliminary Statement General Order 156 Supplier Diversity Program Memorandum Account	NEW
1011-W 1012-W	Preliminary Statement Lead and Copper Rule Revisions Expense Memorandum Account	NEW
1013-W	Schedule No. 1 General Metered Service	984-W
1014-W	Schedule No. 1 General Metered Service Tiered Rates	993-W
1015-W	Schedule No. 1 General Metered Service Special Conditions	994-W
1016-W	Schedule No. 3M Irrigation Service	987-W
1017-W	Schedule No. 3M Irrigation Service Special Conditions	988-W
1018-W	Schedule No. 6 Contract Resale Service	989-W
1019-W	Schedule No. 4 Private Fire Protection Service	882-W
1020-W	Table of Contents	1003-W

Summary of Advice Letter 313-W

A. Commission Authorization for Advice Letter 313-W

D.23-04-004 adopted the partial settlement agreement between Great Oaks and the Public Advocates Office and decided all remaining contested issues presented in A.21-07-001. D.23-04-004 authorized Total Operating Revenues of \$22,255,961 comprised of \$22,061,084 in Test Year 2022/2023 Metered Water Service Revenues and \$194,877 in Test Year 2022/2023 Fire Service Revenues.

D.23-04-004 resolves all issues remaining in A.21-07-001 and requires changes and additions to Great Oaks' Commission-authorized tariffs. The proposed tariff sheets provided in Exhibit A hereto are based upon the authority granted to Great Oaks in D.23-04-004, as discussed in greater detail below.

This is the first advice letter being filed by Great Oaks to implement D.23-04-004. Great Oaks will also be filing one or more subsequent advice letters to amortize memorandum and balancing accounts and to otherwise comply with the Ordering Paragraphs of D.23-04-004.

B. Discussion of Proposed Tariff Sheets.

1. Proposed Tariff Sheet No. 1004-W – Santa Clara Valley Water District Memorandum Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to change the language of the terms and conditions of its authorized Santa Clara Valley Water District Memorandum Account (Tariff Sheets 465-W and 466-W). (D.23-04-004, at pp. 75 – 77, OP 20, at p. 96 - 97.) Proposed Tariff Sheet No. 1004-W is based upon Great Oaks' Exhibit GOWC-1, Exhibit 3-9, as authorized, and replaces current Tariff Sheets 465-W and 466-W.
2. Proposed Tariff Sheet No. 1005-W – Customer Assistance Program Surcharge Balancing Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to modify its authorized Low Income Customer Assistance Program Surcharge Balancing Account (Tariff Sheet No. 794-W) to change the name of the program to the Customer Assistance Program (CAP). (D.23-04-004, OP 22, at p. 97.) Proposed Tariff Sheet 1005-W complies with this directive, as well as with D.20-08-047 issued in connection with Rulemaking (R.) 17-06-024.¹
3. Proposed Tariff Sheet No. 1006-W – Rule No. 22 Customer Assistance Program. As indicated in B.2., above, D.23-04-004 grants Great Oaks the authority and orders Great Oaks to change the name of its Low-Income Customer Assistance Program to the Customer Assistance Program. Proposed Tariff Sheet No. 1006-W complies with this directive, as well as with D.20-08-047.²
4. Proposed Tariff Sheets Nos. 1007-W and 1008-W – Pension Expense Balancing Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to modify the terms and conditions of its authorized Pension Expense Balancing Account (Tariff Sheets 795-W and 837-W). (D.23-04-004 at pp. 48 – 51; OP 15, at p. 96.). Proposed Tariff Sheet No 1005-W includes the authorized changes to the terms and conditions of this balancing account. Proposed Tariff Sheet No. 1006-W deletes language from the now former balancing account from the Preliminary Statement, while maintaining a Commission-authorized memorandum account.
5. Proposed Tariff Sheet No. 1009-W – Credit Card Pilot Program Memorandum Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to update the terms of its authorized Credit Card Pilot Program Memorandum Account. (D.23-04-004, at pp. 37 – 39; OP21, at p. 97.) Proposed Tariff Sheet No. 1009-W updates the Credit Card Pilot Program Memorandum Account as directed.

¹ See, D.20-08-047, Ordering Paragraph 4, at pp. 106-107 (renaming low-income customer assistance programs in the utility's next GRC).

² *Id.*

6. Proposed Tariff Sheet 1010-W – General Order 156 Supplier Diversity Program Memorandum Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to add a General Order 156 Supplier Diversity Program Memorandum Account to its Preliminary Statement. (D.23-04-004, at pp. 71 – 73; OP 18, at p. 96.) Proposed Tariff Sheet No. 1010-W complies with this directive.
7. Proposed Tariff Sheets Nos. 1011-W and 1012-W – Lead and Copper Rule Revisions Expense Memorandum Account. D.23-04-004 grants Great Oaks the authority and orders Great Oaks to add a Lead and Copper Rule Revisions Expense Memorandum Account to its Preliminary Statement. (D.23-04-004, at pp. 73 – 75; OP 19, at p. 96.) Proposed Tariff Sheets Nos. 1011-W and 1012-W comply with this directive.
8. Proposed Tariff Sheet No. 1013-W – Schedule No. 1 General Metered Service. D.23-04-004 grants Great Oaks the authority to recover Test Year 2022/2023 revenues in the amount of \$22,255,961. (D.23-04-004, OP 2, at p. 93.) Total authorized fixed costs for Test Year 2022/2023 are \$9,365,196 and total authorized variable costs for Test Year 2022/2023 are \$12,890,762. The rate design authorized in D.23-04-004 requires collection of 75% of fixed costs through service charges and all remaining costs (25% of fixed costs and 100% of variable costs) to be recovered through the quantity charge. (D.23-04-004, OP 9, at p. 95.) D.23-04-004 provides the calculation of 5/8-inch meter equivalents for different meter sizes. (D.23-04-004, Attachment B, Table 1.) Proposed Tariff Sheet No. 1013 Schedule No. 1 General Metered Service is based upon and complies with these adopted revenues, costs, meters, and meter sizes.
9. Proposed Tariff Sheet No. 1014-W – Schedule No. 1 General Metered Service Tiered Rates. D.23-04-004 grants Great Oaks the authority to utilize tiered rates with an agreed upon rate design. (D.23-04-004, OP 8, at pp. 94 – 95.) Proposed Tariff Sheet No. 1014-W is based upon the adopted revenues, costs, meters, meter sizes, and rate design.
10. Proposed Tariff Sheet No. 1015-W – Schedule No. 1 General Metered Service Special Conditions. D.23-04-004 grants Great Oaks the authority to fund its Customer Assistance Program (CAP) through a surcharge added to the quantity charge for all water delivered to Non-CAP customers. (D.23-04-004, OP 10, at p. 95; Attachment B, Table 4.) Proposed Tariff Sheet No. 1015-W is submitted in compliance with this directive.
11. Proposed Tariff Sheet No. 1016-W – Schedule No. 3M Irrigation Service. D.23-04-004 grants Great Oaks the authority to charge customers for irrigation service at the same uniform quantity charge and meter service charges as for General Metered Service. (D.23-04-004, OP 8, at pp. 94 – 95.) Proposed Tariff Sheet No. 1016-W is submitted in compliance with this directive.
12. Proposed Tariff Sheet No. 1017-W – Schedule No. 3M Irrigation Service Special Conditions. Great Oaks authorized Irrigation Service rates include a surcredit for customers providing evidence to Great Oaks that the water used qualifies for lower pump tax rates levied by the Santa Clara Valley Water District for agricultural water. (See, e.g., Tariff Sheet 988-W Schedule No. 3M Irrigation

Service Special Conditions.) D.23-04-004 grants Great Oaks the authority to implement retail rate changes approved by the Commission. (D.23-04-004, OP 4, at p. 94.) Proposed Tariff Sheet 1017-W provides the amount of the surcredit to be received by qualified customers for agricultural irrigation service. Calculations for the surcredit are shown below.

Calculation of Schedule No. 3M Agriculture Quantity Charge Surcredit	
25% of Adopted Fixed Costs	\$2,341,299
Adopted Variable Costs Less Acct. 700 Groundwater Charges	\$1,465,728
Groundwater Charges @ Agricultural Rate (\$36.85/AF)	\$393,096
Total	\$4,200,123
Sales, not including Agricultural Sales (ccf)	3,918,829
Agricultural (Ag) Quantity Charge per ccf	\$1.0718
Surcredit (Uniform Qty. Charge less Ag Qty Charge)	\$2.81

13. Proposed Tariff Sheet No. 1018-W – Schedule No. 6 Contract Resale Service. D.23-04-004 grants Great Oaks the authority to implement rates based upon adopted revenues, costs, meters, and meter sizes. (D.23-04-004, OP 2, at p. 93.) Proposed Tariff Sheet No. 1019-W sets contract resale rates based upon these adopted values.
14. Proposed Tariff Sheet No. 1019-W – Private Fire Protection Service. D.23-04-004 grants Great Oaks the authority to recover Test Year 2022/2023 fire protection revenues of \$194,876.54. (D.23-04-004, at pp. 78 – 79; OP 11, at p. 95.) Proposed Tariff Sheet No. 1017-W includes the authorized rates for private fire protection service connections.
15. Proposed Tariff Sheet No. 1020-W – Table of Contents. This proposed tariff sheet updates the Table of Contents for Great Oaks’ tariff book and includes the proposed tariff sheets listed above.

C. Interim Rates

At an appropriate time, after calculations have been completed, Great Oaks will seek authority to amortize and close the 2021 GRC Interim Rates Memorandum Account approved through Advice Letter 308-W. This amortization may be included with amortization of other memorandum and/or balancing accounts for efficiency and to reduce customer confusion that often results from the implementation of multiple surcharges over a short period of time. D.23-04-004 provides authority for Great Oaks to consolidate memorandum account authorizations through a single Tier 3 Advice Letter filing. (D.23-04-004, OP14, at pp. 95 – 96.)

Great Oaks will use the date of issuance of D.23-04-004 for the cut-off date on interim rates for purposes of tracking the revenue differential between interim rates and the rates approved in D.23-04-004. All billings sent on and after April 11, 2023 will be based upon rates approved in D.23-04-004 for water delivered on and after July 1, 2022.

C. Proposed Tariff Cancellations

Great Oaks requests cancellation of the following Tariff Sheets, as previously authorized in D.19-09-010:

1. Tariff Sheet 793-W (cancellation of Contamination Proceeds Memorandum Account).
2. Tariff Sheets 766-W and 746-W (cancellation of Conservation Lost Revenue and Expense Memorandum Account (2014 – 2017 drought)).

Discussion of Proposed Tariff Cancellations. D.19-09-010 approved the settlement agreement between Great Oaks and the Public Advocates Office. Included in that settlement agreement were the closure of the Contamination Proceeds Memorandum Account and the Conservation Lost Revenue and Expense Memorandum Account. By canceling the tariff sheets for these memorandum accounts, Great Oaks is complying with D.19-09-010.

Additional Information

No Effect on Water Service

This filing has no effect on water service being provided to ratepayers.

Tier Designation

Pursuant to General Order 96-B and Water Industry Rule 7.3.1, this is a Tier 1 advice letter implementing rates and cost of capital in compliance with D.23-04-004.

Requested Effective Date

The effective date of rates is July 1, 2022.

Notice

No additional notice is required for this compliance filing pursuant to General Order 96-B, Water Industry Rule 3.2. This Advice Letter is being served upon the Distribution List provided below and being posted on Great Oaks' website, www.greatoakswater.com.

Response or Protest

Anyone may protest and respond to this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth specific grounds on which it is based. These grounds may be based upon the following:

- (1) The utility did not properly serve or give notice of the advice letter; or
- (2) The relief requested in the advice letter would violate a statute or Commission order, or is not authorized by statute or Commission order on which the utility relies; or
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions; or

- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the Commission).

Any protest or response must be made in writing or by electronic mail and must be received by the Commission's Division of Water and Audits within 20 days of the date this advice letter is filed. The advice letter process does not provide for any protests, responses or other comments, except for a reply by Great Oaks, after the 20-day comment period expires. The address for mailing or delivering a protest or response is:

Tariff Unit, Division of Water and Audits, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date any protest or response is submitted to the Water Division, the protesting or responding person, entity or party must serve a copy of the protest or response on Great Oaks addressed to:

Timothy S. Guster
Great Oaks Water Co.
PO Box 23490
San Jose, CA 95153
Telephone: (408) 227-9540
Facsimile: (408) 227-7126
Email: tguster@greatoakswater.com

Great Oaks Water Company

/s/

Timothy S. Guster
General Counsel
Legal and Regulatory Affairs

cc: Richard Rauschmeier, Public Advocates Office
Syreeta Gibbs, Public Advocates Office

VERIFICATION

I am an officer of the Great Oaks Water Company and am authorized to make this verification on its behalf. The statements in the foregoing document, Great Oaks Water Co.'s Advice Letter 313-W, are true of my own knowledge, except as to matters that are therein stated on information and belief, and as to those matters, I believe them to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on April 11, 2023 at San Jose, California.

/s/
Timothy S. Guster

Certificate of Service

I hereby certify that I have this day served a copy of Great Oaks Water Co.'s Advice Letter 313-W on the parties listed on the following Distribution List by mailing a properly addressed copy by first class mail with postage prepaid (or by email, when indicated with *). Any person on the Distribution List not receiving exhibits may request copies by contacting the undersigned directly.

Executed on April 11, 2023 at San Jose, California.

/s/
Timothy S. Guster

Great Oaks Water Co.
Distribution List

Municipal Water System
City of San Jose
3025 Tuers Road
San Jose, CA 95121

County Clerk
County of Santa Clara
70 W. Hedding Street
San Jose, CA 95110

State Water Resources Control Board
Division of Drinking Water
850 Marina Bay Parkway
Building P, 2nd Floor
Richmond, CA 94804

Office of Regulatory Affairs*
California Water Service Company
1720 North First Street
San Jose, CA 95112
Sacramento, CA 95814
(via email: rateshelp@calwater.com)

Santa Clara Valley Water District
5750 Almaden Expressway
San Jose, CA 95118

Water Division*
California Public Utilities
Commission
505 Van Ness Avenue
San Francisco, CA 94102

Richard Rauschmeier*
Cal Advocates
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Syreeta Gibbs*
Cal Advocates
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Legal Division
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102-3214

Regulatory Affairs*
San Jose Water Company
110 West Taylor Street
San Jose, CA 95115

Great Oaks Water Company
Advice Letter 313-W

Exhibit A
Proposed Tariff Sheets

PRELIMINARY STATEMENT
(Continued)

F. Santa Clara Valley Water District Litigation Memorandum Account.

1. **Purpose.** Great Oaks Water Company (Utility) has an authorized memorandum account to track outside legal expenses and litigation costs related to litigation against the Santa Clara Valley Water District (District) challenging the annual levies of groundwater charges (pump taxes) upon the water produced by the Utility. The Santa Clara Valley Water District Memorandum Account was established in 2005. There are now lawsuits pending for each year through 2019/2020.

- a. The purpose of the litigation is to end the District's practice of charging the Utility disproportionately for the benefits the Utility receives from the District's actions in the protection and augmentation of the water supplies under its jurisdiction. The results of the District's challenged practices is higher costs paid by the Utility and higher rates paid by the Utility's customers.
 - b. The groundwater charge expenses are pass-throughs to the Utility's customers through rates authorized by the California Public Utilities Commission (CPUC).
 - c. If the litigation is successful and the Company recovers from the District any amount of money, the Commission practice is to permit the Utility to recover the outside costs of litigation (attorney fees and costs), with the net proceeds going 100% to customers. Because the litigation has taken so long, significant outside costs of litigation have been incurred by the Utility and under the terms of the Memorandum Account, the Company is entitled to recover such costs first, before the net proceeds are distributed to customers, subject to a reasonableness review that would determine if the outside costs were reasonably incurred by the Utility. The amortization of the net proceeds may be combined with a request to amortize the balance of one or more other authorized memorandum or balancing accounts.
 - d. If the litigation is not successful, then the Utility may request recovery of up to \$100,000 in outside costs from customers through a surcharge. This recovery may be combined with a request to amortize the balance of one or more other authorized memorandum or balancing accounts.
2. The Utility is authorized to seek recovery of the costs in the Memorandum Account in its next General Rate Case or through a Tier 3 advice letter filing.
3. The Utility shall not include internal costs or expenses in the Memorandum Account.

(To be inserted by utility)
Advice Letter No. 313-W

Decision No. D.23-04-004

Issued by
Timothy S. Guster
NAME
General Counsel
TITLE

(To be inserted by Cal. P.U.C.)
Date Filed _____

Effective _____

Resolution No. _____

PRELIMINARY STATEMENT
(Continued)

U. Customer Assistance Program Surcharge Balancing Account.

1. Purpose. The purpose of the Customer Assistance Program (CAP) Surcharge Balancing Account (Account) is to track the differences between the recorded CAP surcharge revenues received and the utility's recorded CAP costs and expenses.

2. Applicability. The Account is applicable to all areas served. CAP customers are exempt from Account recoveries.

3. Accounting Procedures.

a. The following entries shall be recorded monthly in the Account:

1. Recorded CAP surcharge revenues.
2. Recorded CAP discounts.
3. Recorded CAP administrative costs and expenses.
4. Total Net Account Balance = (1) minus ((2) + (3)).
5. A positive Account balance represents a utility over-collection to be refunded, while a negative Account balance represents a utility under-collection to be recovered in rates.

b. The utility will record the accumulated Account balance by adding its entry in subsection a.4., above, to the prior accumulated monthly Account balance.

c. Interest shall accrue on a monthly basis by applying a rate equal to one-twelfth of the 90 Day Non-Financial Commercial Paper Interest Rate as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

4. Disposition. If the accumulated balance in the Account exceeds two percent (2%) of the total authorized revenue requirement for the prior year, the utility will file an advice letter to amortize the Account balance. If the cumulative 2% threshold is not met, the Account balance will be amortized in the utility's next General Rate Case. The recovery of under-collections or refund of over-collections will be passed on to non-CAP customers through volumetric surcharges or surcredits.

(T)

(T)

(To be inserted by utility)
Advice Letter No. 313-W

Issued by
Timothy S. Guster
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Rule No. 22

CUSTOMER ASSISTANCE PROGRAM (CAP)

A. GENERAL

The purpose of the Customer Assistance Program (CAP) is to provide qualifying residential applicants with reduced water service charges. Application for the rate may be made by any residential customers entitled to the Pacific Gas and Electric (PG&E) California Alternate Rates for Energy (CARE) program and who is billed in the same manner as being billed by PG&E. Those customers who are individually metered by PG&E but receive water service through a master meter will not be eligible for this program.

B. CERTIFICATION

Application for the CAP will consist of completing an application as provided by the Company and will require the providing of a copy of a current PG&E bill showing eligibility for the CARE program. Certification will apply for 12 months. At the end of the 12-month period, reapplication meeting the same requirements of the original application will be required.

C. NOTIFICATION

The Company will notify all customers of the availability of the program two times a year using an insert in the bi-monthly water bill.

D. WATER SERVICE CHARGE REDUCTION

Qualified customers will receive a 50% reduction in the bi-monthly service charge currently in effect as authorized by the California Public Utilities Commission.

(T)

(T)

(To be inserted by utility)
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Timothy S. Guster
NAME
General Counsel
TITLE

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.23-04-004

Effective _____

Resolution No. _____

PRELIMINARY STATEMENT
(Continued)

V. Pension Expense Balancing Account.

1. **Purpose.** The purpose of the Pension Expense Balancing Account (PEBA) is to track the differences between Authorized Plan Expenses and ASC 715/SFAS 87 pension expenses for the Great Oaks Water Company Defined Benefit Plan and Trust (Plan) for Great Oaks Water Company's (Utility) eventual recovery (or ratepayer reimbursement) of this expense for ratemaking purposes.

2. **Applicability.** The PEBA is applicable to Plan Pension Expense.

3. **Definitions.**

a. **Authorized Plan Expenses** are the Commission-authorized pension expenses adopted for ratemaking purposes.

b. **SFAS 87 Expenses** are the accounting expense amount determined by guidance from the Financial Accounting Standards Board (FASB) and are also known as the "Net Periodic Benefit Cost."

4. **Accounting Procedure.** The following entries shall be recorded monthly in the PEBA:

a. SFAS 87 Expense amount.

b. Authorized Plan Expenses for ratemaking purposes for the same calendar year time period corresponding to the ASC 715/SFAS 87 Expense amount. Because the Utility's rate year is from July 1 to June 30, the Authorized Plan Expenses for the corresponding ASC 715/SFAS 87 calendar year will include 50% of the Commission-authorized pension expenses adopted for the two rate years included in the ASC 715/SFAS 87 calendar year (e.g., Authorized Plan Expenses for calendar year 2022 equals 50% of Commission-authorized pension expenses for rate year 2021/2022 and 50% of Commission-authorized pension expenses for rate year 2022/2023).

c. Total Net PEBA = (2) minus (1).

d. A negative balance represents a Utility over-collection, while a positive balance represents a utility under-collection.

e. The Utility will record entries for Authorized Plan Expenses and for ASC 715/SFAS 87 Expense when the Utility is informed of the ASC 715/SFAS 87 pension expense amount and the Authorized Plan Expenses for the corresponding annual time periods. When there is a balance in the PEBA, entries will be made on a monthly basis for recording interest accruals.

f. Interest will accrue monthly on the balance in the PEBA by applying a rate equal to one-twelfth of the 90 Day Non-Financial Commercial Paper Interest Rate, as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

5. **Ratemaking Procedure.** The PEBA is recoverable in a Tier 2 advice letter filing if the accumulated balance exceeds two percent (2%) of gross adopted revenues for the Utility in accordance with General Order 96-B and standard practices or be requested in the next general rate case. In any filing, the Utility shall demonstrate compliance with ASC 715/SFAS 87 and demonstrate that any changes to its expenses were reasonable and prudently incurred.

(To be inserted by utility)

Advice Letter No. 313-W

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Timothy S. Guster

(To be inserted by Cal. P.U.C.)

Date Filed

NAME

Decision No. D.23-04-004

General Counsel

Effective

TITLE

Resolution No.

PRELIMINARY STATEMENT
(Continued)

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W. School Lead Testing Memorandum Account.

1. Purpose. This School Lead Testing Memorandum Account (SLTMA) is being established pursuant to an Amendment to the Domestic Water Supply permit issued to Great Oaks Water Company (Utility) by the State Water Resources Control Board on January 17, 2017 that establishes the School Lead Testing Program. The purpose of the SLTMA is to track the incremental expenses incurred by Utility that are unforeseen, unexpected, and directly associated with complying with and implementing the School Lead Testing Program.

2. Applicability. The SLTMA does not have a rate component. The SLTMA shall include, but will not be limited to:

a. Incremental labor costs associated with planning and coordination with schools to develop individual sampling plans, collecting and submitting samples to laboratories, administering program requirements, and contracted labor; and

b. Laboratory fees for all lead samples and reporting of results to the Division of Drinking Water and the schools, and all laboratory coordination and instruction.

3. Accounting Procedure. Utility shall maintain the SLTMA by making entries at the end of each month in which applicable expenses are incurred as follows:

a. A debit entry shall be made to the SLMTA to record applicable expenses.

b. Interest shall accrue to the SLMTA on a monthly basis by applying a rate equal to one-twelfth of the 3-month Commercial Paper Rate, as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

4. Effective Date. The SLMTA shall be effective January 17, 2017.

5. Disposition. Disposition of amounts recorded in the SLMTA shall be determined in a subsequent filing, as authorized by the Commission.

(To be inserted by utility)
Advice Letter No. 313-W

Issued by
Timothy S. Guster
NAME
General Counsel
TITLE

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.23-04-004

Effective _____

Resolution No. _____

PRELIMINARY STATEMENT
(Continued)

AA. Credit Card Pilot Program Memorandum Account.

1. Purpose. The Credit Card Pilot Program Memorandum Account shall track and record, for recovery or refund, costs incurred by the Utility to establish, maintain, and operate the Credit Card Pilot Program (CCPP) authorized in by the California Public Utilities Commission (Commission). (T)
(T)
2. Applicability. The CCPP does not have a rate component. The CCPP shall include:
 - (a) The actual costs incurred by the Utility to establish, maintain, and operate the CCPP during the period of time it is authorized by the Commission. (T)
 - (b) Those actual costs shall be compared to the costs for the CCPP authorized by the Commission for each rate year the CCPP is in effect. (T)
(T)
 - (c) The difference between the actual incurred costs and the costs included in rates for each year shall be recorded in the memorandum account as soon as practicable after the close of each rate year. Interest shall accrue to the balance in the memorandum account on a monthly basis by applying a rate equal to one-twelfth of the 3-mongh Commercial Paper Rate, as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.
3. Effective Date. This memorandum account shall become effective on July 1, 2019.
4. Disposition. Dispositions of amounts recorded in the memorandum account shall be determined through a subsequent Tier 2 advice letter filing by the Utility.

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PRELIMINARY STATEMENT
(Continued)

II. Supplier Diversity Program Expense Memorandum Account.

1. Purpose. The purpose of the Supplier Diversity Program Expense Memorandum Account (SDP EMA) is for the Utility to track and recover incremental expenses incurred by the Utility to comply with the California Public Utilities Commission (CPUC) Supplier Diversity Program (SDP) and Public Utilities Code § 8283 that are not already reflected in authorized rates.

2. Applicability. The balance in the SDP EMA will be recovered from all customer classes, except those specifically excluded by the CPUC.

3. SDP EMA Rates. The SDP EMA does not, itself, have a rate component, but may result in a change in rates or a surcharge when amortized.

4. Accounting Procedure. The Utility shall maintain the SDP EMA by making entries at the end of each month in which applicable expenses are incurred as follows:

- a. A debit entry shall be made to the SDP EMA to record applicable expenses incurred by the Utility to comply with the CPUC SDP and Public Utilities Code § 8283.
- b. A credit entry to transfer all or a portion of the balance in this SDP EMA to other adjustment clauses for future rate recovery, as may be approved by the CPUC.
- c. An entry equal to interest on the average balance in the LCRR EMA at the beginning of the month and the balance after the entries from 4.a., above, at a rate equal to one-twelfth the interest rate on the three-month Commercial Paper for the previous month, as reported in the Federal Reserve Statistical Release G.13, or its successor.

5. Recovery of SDP EMA Balance. Any net balance in this memorandum account shall be amortized in the Utility's next general rate case.

(N)

(N)

(To be inserted by utility)
Advice Letter No. 313-W

Issued by
Timothy S. Guster
NAME
General Counsel
TITLE

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.23-04-004

Effective _____

Resolution No. _____

PRELIMINARY STATEMENT
(Continued)

JJ. Lead and Copper Rule Revisions Expense Memorandum Account.

1. Purpose. The purpose of the Lead and Copper Rule Revisions Expense Memorandum Account (LCRR EMA) is for the Utility to track and recover incremental expenses, including capital investments, incurred by the Utility to comply with the United States Environmental Protection Agency's (US EPA) Lead and Copper Rule Revisions that are not already reflected in authorized rates, including, but not limited to:

- a. expenses to utilize new sampling procedures to better locate elevated levels of lead in drinking water;
- b. expenses related to new corrosion control standards and actions to replace lead service lines;
- c. expenses of complying with new timelines for the replacement of lead service lines; and
- d. expenses of complying with new requirements for identifying the locations of lead service lines, including the locations of lead service lines on customer property.

2. Applicability. The balance in the LCRR EMA will be recovered from all customer classes, except those specifically excluded by the CPUC.

3. LCRR EMA Rates. The LCRR EMA does not, itself, have a rate component, but may result in a change in rates or a surcharge when amortized.

4. Accounting Procedure. The Utility shall maintain the LCRR EMA by making entries at the end of each month in which applicable expenses are incurred as follows:

- a. A debit entry shall be made to the LCRR EMA to record applicable expenses incurred by the Utility to comply with the Lead and Copper Rule Revisions, including, but not limited to, new sampling procedures, new corrosion control standards, replacement of lead service lines, identifying the locations of lead service lines, and customer education and notifications.
- b. A debit entry equal to the revenue of each capital expenditure at the Utility's authorized rate of return, together with related entries for depreciation expense, return on investment, return on working cash allowance, return on net cost of removal of facilities, income taxes, and other related taxes and fees.
- c. A credit entry to transfer all or a portion of the balance in this LCRR EMA to other adjustment clauses for future rate recovery, as may be approved by the CPUC.

(N)

(N)

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PRELIMINARY STATEMENT
(Continued)

- a. An entry equal to interest on the average balance in the LCRR EMA at the beginning of the month and the balance after the entries from 4.a. through 4.c., above, at a rate equal to one-twelfth the interest rate on the three-month Commercial Paper for the previous month, as reported in the Federal Reserve Statistical Release G.13, or its successor. Entries in items 4.a. and 4.b. above shall be made net of the appropriate insurance proceeds. Items 4.a. and 4.b. and the appropriate determinants of item 4.c. above, in any month, shall be prorated to reflect the latest jurisdictional allocation factors.

2. Recovery of LCRR EMA Balance. Any net balance in this memorandum account shall be amortized in the Utility's next general rate case.

(N)

(N)

(To be inserted by utility)
Advice Letter No. 313-W

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Schedule No. 1
GENERAL METERED SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.8867	(I)
Service Charge:		
For 5/8x3/4-inch meter	\$ 14.85	(R)
For 3/4-inch meter	22.27	(R)
For 1-inch meter	37.12	(R)
For 1 1/2-inch meter	74.23	(R)
For 2-inch meter	118.77	(R)
For 3-inch meter	222.70	(R)
For 4-inch meter	371.17	(R)
For 6-inch meter	742.33	(R)
For 8-inch meter	1,187.73	(R)
For 10-inch meter	1,707.36	(R)
For 12-inch meter	2,449.69	(R)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.23-04-004

General Counsel
TITLE

Effective Date: _____

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE

Tiered Rates

Designed and Ordered by the California Public Utilities Commission

APPLICABILITY

Applicable to all single-family residential services only.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates (Tiered Rates):

Per Meter/Per Month

For all water delivered, per 100 Cu. Ft.

For total bi-monthly usage from 0 to 12 Ccf.	\$ 2.7635	(I)
For total bi-monthly usage above 12 to 20 Ccf.	4.7690	(I)
For total bi-monthly usage over 20 Ccf.	5.6629	(I)

Service Charge (Conservation Rates):

For 5/8x3/4-inch meter	\$ 14.85	(R)
For 3/4x3/4-inch meter	22.27	(R)
For 1-inch meter	37.12	(R)
For 1 1/2-inch meter	74.23	(R)
For 2-inch meter	118.77	(R)
For 3-inch meter	222.70	(R)
For 4-inch meter	371.17	(R)
For 6-inch meter	742.33	(R)
For 8-inch meter	1,187.73	(R)
For 10-inch meter	1,707.36	(R)
For 12-inch meter	2,449.69	(R)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster

Date Filed _____

Decision Nos. D.23-04-004

General Counsel

Effective Date: _____

TITLE

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE
(Continued)

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. To provide ongoing funding for the Utility's Customer Assistance Program (CAP) as described in Tariff Rule 22, a surcharge of \$0.1152 will be added to the Quantity Charge for all water delivered to non-CAP participants on and after July 1, 2022. (T)
(T)(R)
(T)(C)
(D)
(D)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Decision No D.23-04-004

Timothy S. Guster

NAME

General Counsel

TITLE

Date Filed _____

Effective _____

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area in Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.8867	(I)
Service Charge:		
For 2-inch meter	\$ 118.77	(R)
For 3-inch meter	222.70	(R)
For 4-inch meter	371.17	(R)
For 6-inch meter	742.33	(R)
For 8-inch meter	1,187.73	(R)
For 10-inch meter	1,707.36	(R)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added to the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster

Date Filed _____

Decision No. D.23-04-004

General Counsel

Effective _____

TITLE

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE
(Continued)

SPECIAL CONDITIONS

1. The Santa Clara Valley Water District requires the customer to certify the water is used to irrigate commercial agricultural crops for sale to qualify for this rate. It is an express condition of this Irrigation Service that the customer cooperates in making the necessary certification to the Water District.
2. The Santa Clara Valley Water District has surcharges or discounts applicable to water used to irrigate commercial agricultural crops if the customer/farmer participates in the Water District's special programs. These surcharges or discounts are applied per Acre Ft., are granted or imposed by the Water District each month based on the customer/farmer's level of participation, and will be passed through by the Company each month to the customer/farmer.
3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
4. To provide funding for the Utility's Customer Assistance Program (CAP), as described in Tariff Rule 22, a surcharge of \$0.1152 will be added to the Quantity Charge for all water sold to non-CAP participants on and after July 1, 2022. (T)
(R)
(T)
5. Customers who receive water deliveries for agricultural purposes under this schedule, and who present evidence to the Utility that such deliveries qualify for the lower pump tax rates levied by the Santa Clara Valley Water District for agricultural water, shall receive a credit of \$2.8440 per 100 cu.ft. used during the period covered by that bill on and after July 1, 2022. (I)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.23-04-004

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 6
CONTRACT RESALE SERVICE

APPLICABILITY

Potable water metered service delivered to a water retailer by contract at location outside the Company's certified service territory.

TERRITORY

Wholesale water service may be obtained for use outside the Company's certified service territory.

RATES

Quantity Rates:

For all water delivered, per 100 Cu. Ft.	\$ 3.8867	(I)
--	-----------	-----

Service Charge:

Per Meter
Per Month

For 2-inch meter	\$ 118.77	(R)
For 3-inch meter	222.70	(R)
For 4-inch meter	371.17	(R)
For 6-inch meter	742.33	(R)
For 8-inch meter	1,187.73	(R)
For 10-inch meter	1,707.36	(R)
For 12-inch meter	2,449.69	(R)

The Meter Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.23-04-004

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 4
PRIVATE FIRE PROTECTION SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Per Month

For each 2-inch diameter service connection	\$ 19.97	(I)
For each 4-inch diameter service connection	33.24	(I)
For each 6-inch diameter service connection	50.57	(I)
For each 8-inch diameter service connection	68.20	(I)
For each 10-inch diameter service connection	87.27	(I)
For each 12-inch diameter service connection	112.82	(I)

SPECIAL CONDITIONS:

1. The fire protection service connection will be installed by the utility and the cost paid by the applicant. Such cost shall not be subject to refund.
2. The minimum diameter for fire protection service shall be two inches, and the maximum diameter shall not be more than the diameter of the main to which the service is connected.
3. If a distribution main of adequate size to serve a private fire protection system in addition to all other normal services does not exist in the street or alley adjacent to the premises to be served, then a service main from the nearest existing main of adequate capacity shall be installed by the utility and the cost paid by the applicant. Such payment shall not be subject to refund.
4. Service hereunder is for private fire protection systems to which no connections for other than fire protection purposes are allowed and which are regularly inspected by the underwriters having jurisdiction, and installed according to specifications of the utility, and are maintained to the satisfaction of the utility. The utility may install the standard detector type meter approved by the Board of Fire Underwriters for protection against theft, leakage or waste of water, and the cost paid by the applicant. Such payment shall not be subject to refund.
5. The utility will supply only such water at such pressure as may be available from time to time as a result of its normal operation of the system.
6. All bills within the City of San Jose are subject to a 2% Franchise Fee imposed by the City of San Jose on all customers within the City limits. The Franchise Fee is not in addition to the rates set forth on this Schedule No. 4, but are already included in the rates.
7. All bills are subject to the reimbursement fee set forth in Schedule No. UF.

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.24-04-004

General Counsel
TITLE

Effective _____

TABLE OF CONTENTS

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information.

<u>Subject Matter of Sheet</u>	<u>Cal. P.U.C. Sheet No.</u>
Title Page	346-W
Table of Contents	1019-W, 354-W (C)
Preliminary Statement	347-W, 348-W, 1004-W, 466-W, 785-W, 876-W, 742-W, 743-W, 783-W, 1005-W, 1007-W, (C)
1008-W, 982-W, 1009-W, 887-W, 936-W, 976-W, 977-W, 978-W, 991-W, 996-W, 997-W, 998-W, (C)	
1010-W, 1011-W, 1012-W	(N)
Service Area Map	644-W to 670-W
Rate Schedules:	
Schedule No. 1, General Metered Service	1013-W, 1014-W, 1015-W (C)
Schedule No. 3M, Irrigation Service	1016-W, 1017-W (C)
Schedule No. 4, Private Fire Protection Service	1019-W (C)
Schedule No. 5, Public Fire Hydrant Service	3-W
Schedule No. 6, Contract Resale Service	989-W, 884-W
Schedule No. 9C, Construction Flat Rate Service	817-W, 818-W
Schedule No. 14.1 Mandatory Water Conservation	768-W, 968-W, 969-W, 771-W, 772-W, 773-W
Schedule UF, Surcharge to Fund Public Utilities	
Commission Reimbursement Fee	940-W
Summary List of Contracts & Deviations	477-W
Rules:	
No. 1 Definitions	917-W, 918-W
No. 2 Description of Services	191-W
No. 3 Application for Service	10-W, 503-W, 600-W
No. 4 Contracts	11-W
No. 5 Special Information Required on Forms	919-W - 921-W
No. 6 Establishment and Re-establishment of Credit	14-W
No. 7 Deposits	601-W, 602-W
No. 8 Notices	922-W, 923-W, 900-W
No. 9 Rendering and Payment of Bills	18-W, 19-W, 598-W, 787-W
No. 10 Disputed Bills	924-W, 902-W
No. 11 Discontinuance and Restoration of Service	903-W – 904-W, 925-W- 927-W, 910-W, 911-W 928-W
No. 12 Information Available to Public	25-W, 26-W
No. 13 Temporary Service	27-W, 28-W
No. 14 Continuity of Service	29-W
No. 14.1 Water Conservation and Rationing Plan	540-543-W
No. 15 Main Extensions	232-234-W, 349-W, 236-245-W, 852-W
No. 16 Service Connections, Meters and Customers' Facilities	324-W, 350-W, 351-W, 327-330-W
No. 17 Standards for Measurement of Service	352-W
No. 18 Meter Tests and Adjustment of Bills for Meter Error	43-45-W
No. 19 Service to Separate Premises and Multiple Units, Resale of Water	279-W, 854-W
No. 20 Water Conservation	538-W
No. 21 Fire Protection	537-W
No. 22 Low Income Customer Assistance Program	490-W

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 313-W

Timothy S. Guster

Date Filed _____

Decision No. D.23-04-004

VP & General Counsel

Effective _____

NAME

TITLE