



GREAT OAKS WATER COMPANY

P.O. Box 23490
San Jose, CA 95153
(408) 227-9540
tguster@greatoakswater.com

September 20, 2019

California Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102-3298

Advice Letter 277-W Great Oaks Water Company (U-162-W)

Great Oaks Water Company (Great Oaks) submits this Tier 1 advice letter filing implementing new tariffs and rates as authorized by California Public Utilities Commission (Commission) Decisions (D.) 19-09-010 and D.18-12-002, effective July 1, 2019. Proposed tariff sheets reflective of the changes in Great Oaks' preliminary statement and rates transmitted with this Advice Letter will be applicable throughout Great Oaks' entire service area, are listed in the following table, and are attached hereto as Exhibit A.

Sheet Number	Title of Tariff Sheet	Canceling Sheet No.
876-W	Preliminary Statement Water Cost of Capital Adjustment Mechanism	708-W
877-W	Schedule No. 1 General Metered Service	856-W
878-W	Schedule No. 1 General Metered Service Tiered Rates	857-W
879-W	Schedule No. 1 General Metered Service Special Conditions	864-W
880-W	Schedule No. 3M Irrigation Service	859-W

881-W	Schedule No. 3M Irrigation Service Special Conditions	865-W
882-W	Schedule No. 4 Private Fire Protection Service	802-W
883-W	Schedule No. 6 Contract Resale Service	861-W
884-W	Schedule No. 6 Contract Resale Service Special Conditions	862-W
885-W	Preliminary Statement Credit Card Pilot Program Memorandum Account	NEW
886-W	Table of Contents	875-W

Summary of Advice Letter 277-W

A. Commission Authorization for Advice Letter 277-W

D.19-09-010 adopted the settlement agreement between Great Oaks and the Public Advocates Office with respect to all issues presented in Great Oaks’ general rate case Application (A.) 18-07-002. D.19-09-010 authorized Total Operating Revenues of \$20,836,321, comprised of \$20,749,991 in Test Year Metered Water Service Revenues and \$166,722 in Test Year Fire Service Revenues.

D.18-12-002 approved the partial settlement agreement in Great Oaks’ on Great Oaks’ cost of capital application (A.18-05-001) and resolved the “effective date” issue raised by the Public Advocates Office, thereby establishing Great Oaks’ cost of capital at 8.15%, effective July 1, 2019. This cost of capital was incorporated into the settlement approved by the Commission in D.19-09-010 and the proposed tariff sheets submitted with this Advice Letter. Proposed tariff sheet 876-W attached hereto also provides the updated details of the Water Cost of Capital Adjustment Mechanism authorized by the Commission in D.18-12-002.

The proposed tariff sheets provided in Exhibit A hereto are based upon the settlement agreement between Great Oaks and the Public Advocates Office that was approved by the Commission in D.19-09-010.

Now that the Commission has approved of the settlement agreement, Great Oaks will file a subsequent advice letter (or advice letters) to amortize balances in certain memorandum and balancing accounts, some of which were included in the settlement agreement, using the Commission-approved water sales quantity in D.19-09-010. Such advice letter will also request closure of certain memorandum and balancing accounts, as agreed upon in the settlement agreement approved in D.19-09-010.

Discussion of Proposed Tariff Sheets 876-W and 885-W. These two proposed tariff sheets will be a part of Great Oaks' Preliminary Statement. Proposed Tariff Sheet 876-W was authorized in D.18-12-002 and updates the terms and conditions of the Water Cost of Capital Adjustment Mechanism. Proposed Tariff Sheet 885-W provides the terms and conditions of the Credit Card Pilot Program Memorandum Account, as authorized in D.19-09-010.

Discussion of Proposed Tariff Sheets 877-W through 884-W and 886-W. These proposed tariff sheets include the meter service charges and quantity rates, including conservation rates, approved in D.19-09-010. Proposed tariff sheet 886-W is the revised Table of Contents.

B. Interim Rates

At an appropriate time, after calculations have been completed, Great Oaks will seek authority to amortize and close the 2018 GRC Interim Rates Memorandum Account approved through Advice Letter 275-W. This amortization may be included with amortization of other memorandum and/or balancing accounts for efficiency and to reduce customer confusion that often results from the implementation of multiple surcharges over a short period of time.

Great Oaks will use the date of issuance of D.19-09-010 for the cut-off date on interim rates for purposes of tracking the revenue differential between interim rates and the rates approved in D.19-09-010. All billings sent on and after September 19, 2019 will be based upon rates approved in D.19-09-010 for water delivered on and after July 1, 2019.

C. Proposed Tariff Cancellations

Great Oaks requests cancellation of the following Tariff Sheets, as authorized in D.19-09-010:

1. Tariff Sheet 793-W (cancellation of Contamination Proceeds Memorandum Account).
2. Tariff Sheets 766-W and 746-W (cancellation of Conservation Lost Revenue and Expense Memorandum Account).

Discussion of Proposed Tariff Cancellations. D.19-09-010 approved of the settlement agreement between Great Oaks and the Public Advocates Office. Included in that settlement agreement were the closure of the Contamination Proceeds Memorandum Account and the Conservation Lost Revenue and Expense Memorandum Account. By canceling the tariff sheets for both of these memorandum accounts, Great Oaks is complying with D.19-09-010.

Additional Information

No Effect on Water Service

This filing has no effect on water service being provided to ratepayers.

Tier Designation

Pursuant to General Order 96-B and Water Industry Rule 7.3.1, this is a Tier 1 advice letter implementing rates and cost of capital in compliance with D.18-12-002 and D.19-09-010.

Requested Effective Date

The effective date of rates is July 1, 2019.

Notice

No additional notice is required for this compliance filing pursuant to General Order 96-B, Water Industry Rule 3.2. This Advice Letter is being served upon the Distribution List provided below and being posted on Great Oaks' website, www.greatoakswater.com.

Response or Protest

Anyone may protest and respond to this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth specific grounds on which it is based. These grounds may be based upon the following:

- (1) The utility did not properly serve or give notice of the advice letter; or
- (2) The relief requested in the advice letter would violate a statute or Commission order, or is not authorized by statute or Commission order on which the utility relies; or
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions; or
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the Commission).

Any protest or response must be made in writing or by electronic mail and must be received by the Commission's Division of Water and Audits within 20 days of the date this advice letter is filed. The advice letter process does not provide for any protests, responses or other comments, except for a reply by Great Oaks, after the 20-day comment period expires. The address for mailing or delivering a protest or response is:

Tariff Unit, Division of Water and Audits, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date any protest or response is submitted to the Water Division, the protesting or responding person, entity or party must serve a copy of the protest or response on Great Oaks addressed to:

Timothy S. Guster
Great Oaks Water Co.
PO Box 23490
San Jose, CA 95153
Telephone: (408) 227-9540
Facsimile: (408) 227-7126
Email: tguster@greatoakswater.com

Great Oaks Water Company

/s/

Timothy S. Guster
General Counsel
Legal and Regulatory Affairs

cc: Richard Rauschmeier, Public Advocates Office

VERIFICATION

I am an officer of the Great Oaks Water Company and am authorized to make this verification on its behalf. The statements in the foregoing document, Great Oaks Water Co.'s Advice Letter 277-W, are true of my own knowledge, except as to matters that are therein stated on information and belief, and as to those matters, I believe them to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on September 20, 2019 at San Jose, California.

/s/
Timothy S. Guster

Certificate of Service

I hereby certify that I have this day served a copy of Great Oaks Water Co.'s Advice Letter 277-W on the parties listed on the following Distribution List by mailing a properly addressed copy by first class mail with postage prepaid (or by email, when indicated with *). Any person on the Distribution List not receiving exhibits may request copies by contacting the undersigned directly.

Executed on September 20, 2019 at San Jose, California.

/s/
Timothy S. Guster

Great Oaks Water Co.
Distribution List

Municipal Water System
City of San Jose
3025 Tuers Road
San Jose, CA 95121

County Clerk
County of Santa Clara
70 W. Hedding Street
San Jose, CA 95110

Safe Drinking Water Office
Department of Water Resources
1416 9th Street, Room 804
Sacramento, CA 95814

Office of Regulatory Affairs*
California Water Service Company
1720 North First Street
San Jose, CA 95112

Santa Clara Valley Water District
5750 Almaden Expressway
San Jose, CA 95118

Division of Water and Audits
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Richard Rauschmeier*
Division of Ratepayer Advocates
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Legal Division
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102-3214

Regulatory Affairs
San Jose Water Company
110 West Taylor Street
San Jose, CA 95110

Santa Clara Valley Water District
5750 Almaden Expressway
San Jose, CA 95118

Great Oaks Water Company
Advice Letter 277-W

Exhibit A
Proposed Tariff Sheets

PRELIMINARY STATEMENT
(Continued)

K. Water Cost of Capital Adjustment Mechanism (WCCAM)

1. Purpose: The purpose of the Water Cost of Capital Adjustment Mechanism is to provide an automatic adjustment, up or down, to the utility's adopted return on equity (and therefore its overall rate of return on rate base for 2019-2022) for the years 2020-2021 and 2021-2022 only if there is a difference of more than 100 basis points above or 100 basis points below the average of the Moody's Baa bond rating for the period from July 1, 2018 to June 30, 2019. (C)

2. Applicability: The Water Cost of Capital Adjustment Mechanism does not have a rate component and is applicable to all customers.

3. The utility shall maintain the Water Cost of Capital Adjustment Mechanism as follows:

a. For the 2019-2020 year, the utility's initial benchmark is equal to the average of Moody's Baa bonds for the period from July 1, 2018 to June 30, 2019. (C)

b. If Moody's Baa bond rating for the period from July 1, 2019 to June 30, 2020 is either 100 basis points above or 100 basis points below the initial benchmark, then the utility's return on equity for the year of July 1, 2020 to June 30, 2021 shall be adjusted by one-half the difference between the initial benchmark and the July 1, 2019 to June 30, 2020 average.

c. If the utility's return on equity is adjusted based upon subsection b., above, then the average of Moody's Baa bond rates for the period from July 1, 2019 to June 30, 2020 shall become the new benchmark for this Water Cost of Capital Adjustment Mechanism.

d. If the Moody's Baa rating for the period from July 1, 2020 to June 30, 2021 is either 100 basis points above or 100 basis points below the applicable benchmark, then the utility's return on equity for the year of July 1, 2021 to June 30, 2022 shall be adjusted by one-half the difference between the applicable benchmark and the July 1, 2020 to June 30, 2021 average.

e. If an adjustment is required based upon the terms of this Water Cost of Capital Adjustment Mechanism, the utility shall file by July 15th of the applicable year a Tier 2 advice letter with supporting Workpapers to make such adjustment, with the adjustment to be effective by September 15th of the applicable year. (C)

(To be inserted by utility)
Advice Letter No. 277-W

Issued by
Timothy S. Guster
NAME
General Counsel
TITLE

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.18-12-002; D.19-09-010

Effective _____

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.2560	(R)
<u>Service Charge:</u>		
For 5/8x3/4-inch meter	\$ 14.91	(I)
For 3/4-inch meter	22.36	(I)
For 1-inch meter	37.26	(I)
For 1 1/2-inch meter	74.53	(I)
For 2-inch meter	119.25	(I)
For 3-inch meter	223.59	(I)
For 4-inch meter	372.65	(I)
For 6-inch meter	745.29	(I)
For 8-inch meter	1,192.47	(I)
For 10-inch meter	1,714.17	(I)
For 12-inch meter	2,459.46	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster

Date Filed _____

Decision No. D.18-12-002; D.19-09-010

NAME
General Counsel
TITLE

Effective Date: _____

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE

Tiered Rates

Designed and Ordered by the California Public Utilities Commission

APPLICABILITY

Applicable to all single-family residential services only.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates (Tiered Rates):	Per Meter/Per Month	
For all water delivered, per 100 Cu. Ft.		
For total bi-monthly usage from 0 to 6 Ccf.	\$ 1.3024	(C)(R)
For total bi-monthly usage from 7 to 24 Ccf.	2.6048	(C)(R)
For total bi-monthly usage over 24 Ccf.	3.9723	(C)(I)
Service Charge (Conservation Rates):		
For 5/8x3/4-inch meter	\$ 14.91	(I)
For 3/4x3/4-inch meter	22.36	(I)
For 1-inch meter	37.26	(I)
For 1 1/2-inch meter	74.53	(I)
For 2-inch meter	119.25	(I)
For 3-inch meter	223.59	(I)
For 4-inch meter	372.65	(I)
For 6-inch meter	745.29	(I)
For 8-inch meter	1,192.47	(I)
For 10-inch meter	1,714.17	(I)
For 12-inch meter	2,459.46	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

Schedule No. 1
GENERAL METERED SERVICE
(Continued)

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. To provide ongoing funding for the Utility's Low-Income Customer Assistance Program (LICAP), as described in Tariff Rule 22, a surcharge of \$0.0734 will be added to the Quantity Charge for all water delivered to non-LICAP participants on and after July 1, 2019. (N)
|
(N)

(D)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster
NAME

Date Filed _____

Decision No D.18-12-002; D.19-09-010

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area in Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.2560	(R)
Service Charge:		
For 2-inch meter	\$ 119.25	(I)
For 3-inch meter	223.59	(I)
For 4-inch meter	372.65	(I)
For 6-inch meter	745.29	(I)
For 8-inch meter	1,192.47	(I)
For 10-inch meter	1,714.17	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added to the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster

Date Filed _____

Decision No. D.18-12-002; D.19-09-010

General Counsel

Effective _____

NAME

TITLE

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE
(Continued)

SPECIAL CONDITIONS

1. The Santa Clara Valley Water District requires the customer to certify the water is used to irrigate commercial agricultural crops for sale to qualify for this rate. It is an express condition of this Irrigation Service that the customer cooperates in making the necessary certification to the Water District.

2. The Santa Clara Valley Water District has surcharges or discounts applicable to water used to irrigate commercial agricultural crops if the customer/farmer participates in the Water District's special programs. These surcharges or discounts are applied per Acre Ft., are granted or imposed by the Water District each month based on the customer/farmer's level of participation, and will be passed through by the Company each month to the customer/farmer.

3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.

4. To provide funding for the Utility's Low-Income Customer Assistance Program (LICAP), as described in Tariff Rule 22, a surcharge of \$0.0734 will be added to the Quantity Charge for all water sold to non-LICAP participants on and after July 1, 2019. (N)
|
(N)

5. Customers who receive water deliveries for agricultural purposes under this schedule, and who present evidence to the Utility that such deliveries qualify for the lower pump tax rates levied by the Santa Clara Valley Water District for agricultural water, shall receive a credit of \$2.3350 per 100 cu.ft. used during the period covered by that bill on and after July 1, 2019. (N)
|
(N)

(D)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.18-12-002; D.19-09-010

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 4
PRIVATE FIRE PROTECTION SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

	<u>Per Month</u>	
For each 2-inch diameter service connection	\$ 18.23	(I)
For each 4-inch diameter service connection	29.73	(I)
For each 6-inch diameter service connection	44.73	(I)
For each 8-inch diameter service connection	60.09	(I)
For each 10-inch diameter service connection	76.71	(I)
For each 12-inch diameter service connection	99.08	(I)
		(D)

SPECIAL CONDITIONS:

1. The fire protection service connection will be installed by the utility and the cost paid by the applicant. Such cost shall not be subject to refund.
2. The minimum diameter for fire protection service shall be two inches, and the maximum diameter shall not be more than the diameter of the main to which the service is connected.
3. If a distribution main of adequate size to serve a private fire protection system in addition to all other normal services does not exist in the street or alley adjacent to the premises to be served, then a service main from the nearest existing main of adequate capacity shall be installed by the utility and the cost paid by the applicant. Such payment shall not be subject to refund.
4. Service hereunder is for private fire protection systems to which no connections for other than fire protection purposes are allowed and which are regularly inspected by the underwriters having jurisdiction, and installed according to specifications of the utility, and are maintained to the satisfaction of the utility. The utility may install the standard detector type meter approved by the Board of Fire Underwriters for protection against theft, leakage or waste of water, and the cost paid by the applicant. Such payment shall not be subject to refund.
5. The utility will supply only such water at such pressure as may be available from time to time as a result of its normal operation of the system.
6. All bills within the City of San Jose are subject to a 2% Franchise Fee imposed by the City of San Jose on all customers within the City limits. The Franchise Fee is not in addition to the rates set forth on this Schedule No. 4, but are already included in the rates.
7. All bills are subject to the reimbursement fee set forth in Schedule No. UF.

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster

Date Filed _____

Decision No. D.19-09-010

General Counsel

Effective _____

TITLE

Schedule No. 6
CONTRACT RESALE SERVICE

APPLICABILITY

Potable water metered service delivered to a water retailer by contract at location outside the Company's certified service territory.

TERRITORY

Wholesale water service may be obtained for use outside the Company's certified service territory.

RATES

Quantity Rates:

For all water delivered, per 100 Cu. Ft. \$ 3.2560 (R)

Service Charge:

	Per Meter	
	<u>Per Month</u>	
For 2-inch meter	\$ 119.25	(I)
For 3-inch meter	223.59	(I)
For 4-inch meter	372.65	(I)
For 6-inch meter	745.29	(I)
For 8-inch meter	1,192.47	(I)
For 10-inch meter	1,714.17	(I)
For 12-inch meter	2,459.46	(I)

The Meter Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.19-09-010

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 6
CONTRACT RESALE SERVICE

CONDITIONS

1. Resale water service is provided under this tariff only if deemed available, in the sole judgment of the Company. The Company may interrupt service from time to time to protect continuous service to its own retail customers.
2. The Company is not providing fire protection supply as it does for its own retail customers.
3. The retailer receiving service under this tariff shall defend and hold the Company harmless from any failure of service or any other claim of damage or injury once the water passes through the Company's meter into the sole possession of the retailer.
4. All bills are subject to the reimbursement fee set forth in Schedule No. UF.

(D)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster
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Date Filed _____

Decision No. D.19-09-010

General Counsel
TITLE

Effective _____

Resolution No. _____

PRELIMINARY STATEMENT
(Continued)

AA. Credit Card Pilot Program Memorandum Account.

(N)

1. Purpose. The Credit Card Pilot Program Memorandum Account shall track and record, for recovery or refund, costs incurred by the Utility to establish, maintain, and operate the Credit Card Pilot Program (CCPP) authorized in D.19-09-010.

2. Applicability. The CCPP does not have a rate component. The CCPP shall include:
 - (a) The actual costs incurred by the Utility to establish, maintain, and operate the CCPP in each of the following years: Test Year 2019/2020; Escalation Year 2020/2021; and Attrition Year 2021/2022.

 - (b) Those actual costs shall be compared to the costs for the CCPP authorized in D.19-09-010 for the same years: Test Year 2019/2020 - \$51,976.34; Escalation Year 2020/2021 - \$49,261.44; and Attrition Year 2021/2022 - \$56,978.86.

 - (c) The difference between the actual incurred costs and the costs included in rates for each year shall be recorded in the memorandum account as soon as practicable after the close of each rate year. Interest shall accrue to the balance in the memorandum account on a monthly basis by applying a rate equal to one-twelfth of the 3-month Commercial Paper Rate, as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

3. Effective Date. This memorandum account shall become effective on July 1, 2019.

4. Disposition. Dispositions of amounts recorded in the memorandum account shall be determined through a subsequent Tier 2 advice letter filing by the Utility.

(N)

(To be inserted by utility)
Advice Letter No. 277-W

Issued by
Timothy S. Guster

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. D.19-09-010

NAME
General Counsel
TITLE

Effective _____

Resolution No. _____

TABLE OF CONTENTS

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information.

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(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 277-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.18-12-002; D.19-09-010

VP & General Counsel
TITLE

Effective _____

Resolution No. _____