



GREAT OAKS WATER COMPANY

P.O. Box 23490
San Jose, California 95153
(408) 227-9540
tguster@greatoakswater.com

May 14, 2018

California Public Utilities Commission
Water Division
Room 3102
505 Van Ness Avenue
San Francisco, CA 94102

**Great Oaks Water Company (U-162-W) Advice Letter 270-W
Request to Establish and Implement Second Escalation
(Attrition) Year Rate Change**

Dear Sir or Madam:

Great Oaks Water Company (Great Oaks) hereby transmits this supplemental Tier 2¹ advice letter requesting authorization to establish and implement a second escalation year rate change for its entire service, as authorized by California Public Utilities Commission (Commission) Decisions (D.) 04-06-018 and D.07-05-062, as well as Commission General Order 96-B. This advice letter request includes implementation of changes in the federal tax laws in compliance with the Water Division's May 8, 2018 letter. The following tariff sheets are submitted for approval.

Sheet Number	Title of Tariff Sheet	Canceling Sheet No.
856-W	Schedule No. 1 General Metered Service	842-W
857-W	Schedule No. 1 General Metered Service Tiered Rates	828-W
858-W	Schedule No. 1 General Metered Service Special Conditions	846-W

¹ This is a Tier 2 advice letter only because of the mandate in the Water Division's May 8, 2018 letter. Escalation/attrition-year advice letters are normally Tier 1 filings under General Order 96-B.

859-W	Schedule No. 3M Irrigation Service	843-W
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860-W	Schedule No. 3M Irrigation Service Special Conditions	838-W
861-W	Schedule No. 6 Contract Resale Service	831-W
862-W	Schedule No. 6 Contract Resale Service Special Conditions	839-W
863-W	Table of Contents	855-W

Summary of Advice Letter 270-W

This Tier 2 advice letter requests the establishment and implementation of a 2018/2019 escalation (attrition) year rate change for Great Oaks' entire service area, as authorized by and in compliance with the Decisions referenced above. Escalation year filings are generally governed by the Commission's General Rate Case Plan for Class A Water Utilities. In addition, in the Settlement Agreement approved by the Commission in D.16-05-041, the parties agreed "that Great Oaks will follow the methodology set forth in the Commission's Rate Case Plan for its Escalation Year 2017/2018 and Attrition Year 2018/2019 filings."²

This filing is pursuant to General Order 96-B, Industry Rules 7.3.1(3)³ and 7.3.1(6)⁴, and has no effect upon water service provided by Great Oaks to its ratepayers.

Request

Great Oaks requests an increase in its revenue requirement of \$303,269 (1.70%) from the adopted revenue of \$17,872,388⁵ to \$18,175,657 in escalation/attrition year 2018/2019. The requested increase in the authorized revenue requirement includes the reduction in federal tax rates from 35% to 21%, as directed in the Water Division's May 8, 2018 letter.⁶

Also included in this request is an adjustment to the authorized LICAP Surcharge, as permitted under D.16-05-041⁷. The LICAP Surcharge adjustment is necessary because the pro forma water sales (Exhibit A, WP-2 and WP-3) are higher than the water sales

² D.16-05-041, Attachment 1, page 19; see also D.16-05-041, page 23.

³ Compliance with mandatory statute, decision, or resolution.

⁴ Escalation filing.

⁵ Authorized revenues per Advice Letter 261-W-A, effective July 1, 2017.

⁶ The calculation of federal taxes is provided in AL 270-W Exhibit A, at pages WP-7 and WP-8.

⁷ D.16-05-041, page 23.

authorized through Advice Letter 260-W-A⁸ (Great Oaks' escalation year 2017/2018 advice letter).

Supporting Workpapers showing all calculations for the escalation year are provided as Exhibit A to this advice letter. The tariff sheets attached to this advice letter match the calculations in the AL 270-W Exhibit A Workpapers.

Rates of Return

The following summary shows the authorized, recorded (for calendar year 2017), and pro forma rates of return for Great Oaks.

Authorized Rate of Return per D.13-05-027:	9.10%
Calendar Year 2017 Recorded Rate of Return:	6.86%
Pro Forma Rate of Return:	0.54%
Advice Letter 270-W Rate of Return	9.10%

The calendar year rate of return is based upon Great Oaks' Annual Report for 2017. The pro forma rate of return was calculated in accordance with D.04-06-018, D.07-05-062, and Standard Practice U-34-W. Attrition Year 2018/2019 revenues were calculated using the authorized rate of return. All calculations are shown on the AL 270-W Exhibit A Workpapers. Great Oaks has used the April 2018 escalation factors – the most recent escalation factors published by the Office of Ratepayer Advocates (ORA) as of the date of filing. Great Oaks has also used the April 2018 CPI-U, as published on May 10, 2018.

Rate and Bill Impact

As shown on Page WP-6 of Exhibit A, monthly service charges for a 5/8" x 3/4" meter go up 2.2% from \$8.33 to \$8.51, while the uniform quantity charges go down 2.1% from \$3.4326 (uniform rate of \$2.9101 plus AL 261-W-A surcharge of \$0.5225) per ccf to \$3.3588 per ccf. The weighted average number of customers has increased from 21,010 to 21,195.⁹

In addition, the LICAP Surcharge goes down slightly from \$0.0293 to \$0.0288, as a result of there being more non-LICAP customers to fund the program.¹⁰

The overall effect is a decrease of \$1.84 in the average single family residential customer's bill from \$95.74 to \$93.90 (-1.92%). The bill impact is calculated and shown on page WP-9 of Exhibit A and on the notice being published regarding this filing.

⁸ Water sales are higher simply because average weighted customer numbers are higher.

⁹ See Exhibit A, page WP-2.

¹⁰ See Exhibit A, page WP-5.

Effective Date

The effective date for the tariffs requested in this advice letter is July 1, 2018, the first day of Great Oaks' rate year.

Tier Designation

Escalation year requests are normally Tier 1 advice letters under General Order 96-B, Industry Rule 7.3.1(6). But, because the Water Division's May 8, 2018 letter characterizes the filing as a Tier 2 advice letter, this is submitted as such.

Notice and Service

Notice was provided by publishing a legal notice in a newspaper of local circulation (San Jose Mercury News), and by providing a link to this advice letter filing on Great Oaks' website (www.greatoakswater.com). Proof of publication will be provided separately to the Water Division. This advice letter is also being served upon the Distribution List provided below.

Protests and Responses

Anyone may protest and respond to this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth specific grounds on which it is based. These grounds may be based upon the following:

- (1) The utility did not properly serve or give notice of the advice letter; or
- (2) The relief requested in the advice letter would violate a statute or Commission order, or is not authorized by statute or Commission order on which the utility relies; or
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions; or
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require re-litigating a prior order of the Commission).

Any protest or response must be made in writing or by electronic mail and must be received by the Commission's Division of Water and Audits within 20 days of the date this advice letter is filed. The advice letter process does not provide for any protests, responses or other comments, except for a reply by Great Oaks, after the 20-day comment period expires. The address for mailing or delivering a protest or response is:

Tariff Unit, Division of Water and Audits, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date any protest or response is submitted to the Water Division, the protesting or responding person, entity or party must serve a copy of the protest or response on Great Oaks addressed to:

Timothy S. Guster
Great Oaks Water Co.
PO Box 23490
San Jose, CA 95153
Telephone: (408) 227-9540
Facsimile: (408) 227-7126
Email: tguster@greatoakswater.com

Great Oaks Water Company

/s/

Timothy S. Guster
General Counsel
Legal and Regulatory Affairs

cc: Ting Pong-Yuen

VERIFICATION

I am an officer of the Great Oaks Water Company and am authorized to make this verification on its behalf. The statements in the foregoing document, Great Oaks Water Co.'s Advice Letter 270-W, are true of my own knowledge, except as to matters which are therein stated on information and belief, and as to those matters I believe them to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 14, 2018 at San Jose, California.

_____/s/_____
Timothy S. Guster

Certificate of Service

I hereby certify that I have this day served a copy of Great Oaks Water Co.'s Advice Letter 270-W on the parties listed on the following Distribution List by mailing a properly addressed copy by first class mail with postage prepaid. Workpapers are available upon request.

Executed on May 14, 2018 at San Jose, California.

_____/s/
Timothy S. Guster

Great Oaks Water Co. Distribution List

Municipal Water System*
City of San Jose
3025 Tuers Road
San Jose, CA 95121

County Clerk*
County of Santa Clara
70 W. Hedding Street
San Jose, CA 95110

Safe Drinking Water Office*
Department of Water Resources
1416 9th Street, Room 804
Sacramento, CA 95814

Office of Regulatory Affairs*
California Water Service Company
1720 North First Street
San Jose, CA 95112

Santa Clara Valley Water District*
5750 Almaden Expressway
San Jose, CA 95118

Raminder Kahlon
Water Division
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Office of Ratepayer Advocates
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Ting-Pong Yuen
Office of Ratepayer Advocates
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Legal Division
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102-3214

Regulatory Affairs*
San Jose Water Company
110 West Taylor Street
San Jose, CA 95156

*Exhibits available upon request to tguster@greatoakswater.com

Great Oaks Water Company
Advice Letter 270-W
Proposed Tariff Sheets

Schedule No. 1
GENERAL METERED SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.3588	(I)
Service Charge:		
For 5/8x3/4 inch meter	\$ 8.51	(I)
For 3/4 inch meter	12.77	(I)
For 1 inch meter	21.28	(I)
For 1 1/2 inch meter	42.56	(I)
For 2 inch meter	68.10	(I)
For 3 inch meter	127.68	(I)
For 4 inch meter	212.80	(I)
For 6 inch meter	425.61	(I)
For 8 inch meter	680.97	(I)
For 10 inch meter	978.90	(I)
For 12 inch meter	1,404.50	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective Date: _____

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE

Tiered Rates

Designed and Ordered by the California Public Utilities Commission

APPLICABILITY

Applicable to all single-family residential services only.

TERRITORY

The area is Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates (Tiered Rates):

Per Meter/Per Month

For all water delivered, per 100 Cu. Ft.

For total bi-monthly usage from 0 to 13 Ccf.	\$ 3.1012	(I)
For total bi-monthly usage above 13 to 32 Ccf.	3.3588	(I)
For total bi-monthly usage over 32 Ccf.	3.8727	(I)

Service Charge (Conservation Rates):

For 5/8x3/4-inch meter	\$ 8.51	(I)
For 3/4x3/4-inch meter	12.77	(I)
For 1-inch meter	21.28	(I)
For 1 1/2-inch meter	42.56	(I)
For 2-inch meter	68.10	(I)
For 3-inch meter	127.68	(I)
For 4-inch meter	212.80	(I)
For 6-inch meter	425.61	(I)
For 8-inch meter	680.97	(I)
For 10-inch meter	978.90	(I)
For 12-inch meter	1,404.23	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster

Date Filed _____

Decision Nos. D.16-05-041

General Counsel

Effective Date: _____

TITLE

Resolution No. _____

Schedule No. 1
GENERAL METERED SERVICE
(Continued)

SPECIAL CONDITIONS

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. To provide ongoing funding for the Utility's Low-Income Customer Assistance Program (LICAP), (T)
as described in Tariff Rule 22, a surcharge of \$0.0293 will be added to the Quantity Charge for all
water sold through June 30, 2018 to all customers except LICAP participants. (T)
(D)
3. To offset the higher Pump Tax imposed by the Santa Clara Valley Water District, effective (N)(L)
July 1, 2017, a surcharge of \$0.5225 per 100 cu.ft. will be added to the Quantity Charge for each
customer for all water delivered on and after July 1, 2017 through June 30, 2018. (N)(L)
(D)
4. To amortize the balance in the Monterey-style Water Revenue Adjustment Mechanism Account (L)
and related balancing account, a surcharge of \$0.1786 per 100 cu.ft. will be added to the Quantity
Rate for single-family residential customers for all water delivered beginning September 5, 2017
for a twelve-month period. (L)
5. To provide ongoing funding for the Utility's Low-Income Customer Assistance Program (LICAP), (N)
as described in Tariff Rule 22, a surcharge of \$0.0288 will be added to the Quantity Charge for all
water sold on and after July 1, 2018. (N)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No D.16-05-041

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE

APPLICABILITY

Applicable to all metered water service.

TERRITORY

The area in Southeast San Jose, East of Snell Road and South of Hellyer Park.

RATES

Quantity Rates:	Per Meter Per Month	
For all water delivered, per 100 Cu. Ft.	\$ 3.3588	(I)
Service Charge:		
For 2 inch meter	\$ 68.10	(I)
For 3 inch meter	127.68	(I)
For 4 inch meter	212.80	(I)
For 6 inch meter	425.61	(I)
For 8 inch meter	680.97	(I)
For 10 inch meter	978.90	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added to the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 3M
IRRIGATION SERVICE
(Continued)

SPECIAL CONDITIONS

1. The Santa Clara Valley Water District requires the customer to certify the water is used to irrigate commercial agricultural crops for sale to qualify for this rate. It is an express condition of this Irrigation Service that the customer cooperates in making the necessary certification to the Water District.
2. The Santa Clara Valley Water District has surcharges or discounts applicable to water used to irrigate commercial agricultural crops if the customer/farmer participates in the Water District's special programs. These surcharges or discounts are applied per Acre Ft., are granted or imposed by the Water District each month based on the customer/farmer's level of participation, and will be passed through by the Company each month to the customer/farmer.
3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
4. Customers who receive water deliveries for agricultural purposes under this schedule, and who present evidence to the Utility that such deliveries qualify for the lower pump tax rates levied by the Santa Clara Valley Water District for agricultural water, shall receive a credit of \$1.6904 per 100 cu.ft. used during the period covered by that bill.
5. To offset the higher Pump Tax imposed by the Santa Clara Valley Water District, effective July 1, (N) 2016, a surcharge of \$0.5225 per 100 cu.ft. will be added to the Quantity Charge for each customer for | all water delivered on and after July 1, 2017 through June 30, 2018. (N)
(D)
6. To provide funding for the Utility's Low-Income Customer Assistance Program (LICAP), as (N) described in Tariff Rule 22, a surcharge of \$0.0288 will be added to the Quantity Charge for all | water sold on and after July 1, 2018. (N)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective _____

Resolution No. _____

Schedule No. 6
CONTRACT RESALE SERVICE

APPLICABILITY

Potable water metered service delivered to a water retailer by contract at location outside the Company's certified service territory.

TERRITORY

Wholesale water service may be obtained for use outside the Company's certified service territory.

RATES

Quantity Rates:

For all water delivered, per 100 Cu. Ft.	\$ 3.3588	(I)
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Service Charge:

	Per Meter <u>Per Month</u>	
For 2 inch meter	\$ 68.10	(I)
For 3 inch meter	127.68	(I)
For 4 inch meter	212.80	(I)
For 6 inch meter	425.61	(I)
For 8 inch meter	680.97	(I)
For 10 inch meter	978.90	(I)
For 12 inch meter	1,404.50	(I)

The Meter Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective _____

Resolution _____

Schedule No. 6
CONTRACT RESALE SERVICE

CONDITIONS

1. Resale water service is provided under this tariff only if deemed available, in the sole judgment of the Company. The Company may interrupt service from time to time to protect continuous service to its own retail customers.
2. The Company is not providing fire protection supply as it does for its own retail customers.
3. The retailer receiving service under this tariff shall defend and hold the Company harmless from any failure of service or any other claim of damage or injury once the water passes through the Company's meter into the sole possession of the retailer.
4. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
5. To offset the higher Pump Tax imposed by the Santa Clara Valley Water District, effective July 1, 2017, a surcharge of \$0.5225 per 100 cu.ft. will be added to the Quantity Charge for each customer for all water delivered on and after July 1, 2017 through June 30, 2018.

(D)

(N)(L)

|
(N)(L)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster
NAME

Date Filed _____

Decision No. D.16-05-041

General Counsel
TITLE

Effective _____

Resolution No. _____

TABLE OF CONTENTS

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(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice Letter No. 270-W

Timothy S. Guster

Date Filed _____

Decision No. _____

VP & General Counsel

Effective _____

Resolution No. _____

**GREAT OAKS WATER COMPANY NOTICE OF ESCALATION YEAR
RATE CHANGES AUTHORIZED BY CALIFORNIA PUBLIC UTILITIES COMMISSION**

Great Oaks Water Company (Great Oaks) has filed Advice Letter 270-W (AL 270-W) with the California Public Utilities Commission (Commission) requesting authorization to establish and implement escalation year rate changes consistent with Commission authority. Under Great Oaks' request, its total revenue requirement will be increased by \$303,269 (1.70%), from \$17,872,388 to \$18,175,657.

Great Oaks Water Company (Great Oaks) has also filed AL 271-W with the Commission to address higher groundwater charges (pump taxes) recently adopted by the Santa Clara Valley Water District. The current pump tax surcharge will apply to water sold through June 30, 2018 and will then be replaced by the new AL 271-W pump tax surcharge. AL 271-W will increase Great Oaks' total revenue requirement by \$973,096 (5.35%) over the revenues requested in AL 270-W.

Combined, the two Advice Letters request an overall increase of \$1,276,365 (7.14%) in authorized revenues. As shown in the table below, as a result of the two Advice Letters, the average single-family residential customer bimonthly bill will increase by \$3.40 (3.55%). Complete copies of the AL filings are available at www.greatoakswater.com.

Bill Comparison

Average Single-Family Residential Customer with 5/8" Meter Using 11 ccf per Month

Bill Comparison									
Bi-Monthly Average Single-Family Residential Customer with 5/8-inch Meter Using 11 ccf per Month									
Billed Item	At Current Rates			At AL 270-W Rates			At AL 271-W Rates		
	Amount	Units	Total	Amount	Units	Total	Amount	Units	Total
Monthly Serv. Chrg.	\$8.33	2	\$16.66	\$8.51	2	\$17.02	\$8.51	2	\$17.02
Tier 1 Usage	\$2.6869	13	\$34.93	\$ 3.1012	13	\$40.32	\$ 3.1012	13	\$40.32
Tier 2 Usage	\$2.9101	9	\$26.19	\$ 3.3588	9	\$30.23	\$ 3.3588	9	\$30.23
LICAP Surcharge	\$0.0293	22	\$0.64	\$ 0.0288	22	\$0.63	\$ 0.0288	22	\$0.63
Pump Tax Surchr.	\$0.5225	22	\$11.50				\$ 0.2243	22	\$4.93
Subtotal			\$89.92			\$88.20			\$93.13
CPUC Fee at 1.4%			\$1.26			\$1.23			\$1.30
Subtotal			\$91.18			\$89.43			\$94.44
City Utility Tax at 5%			\$4.56			\$4.47			\$4.72
Total			\$95.74			\$93.90			\$99.16

Protests and Responses: Anyone may protest and respond to this Advice Letter. A Response supports the filing and may contain information that proves useful to the Commission in evaluating the Advice Letters. A Protest objects to the Advice Letter in whole or in part and must set forth specific grounds on which it is based. These grounds may be based upon the following:

- (1) The utility did not properly serve or give notice of the Advice Letters; or
- (2) The relief requested in the Advice Letters would violate a statute or Commission order, or is not authorized by statute or Commission order on which the utility relies; or
- (3) The analysis, calculations, or data in the Advice Letters contain material error or omissions; or
- (4) The relief requested in the Advice Letters is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the Advice Letters requires consideration in a formal hearing, or is otherwise inappropriate for the Advice Letter process; or
- (6) The relief requested in the Advice Letters is unjust, unreasonable, or discriminatory (provided that such a Protest may not be made where it would require re-litigating a prior order of the Commission).

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Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date any Protest or Response is submitted to the Water Division, the protesting or responding person, entity or party must serve a copy of the Protest or Response on Great Oaks addressed to Timothy S. Guster, Great Oaks Water Co., 20 Great Oaks Blvd. Suite 120, San Jose, CA 95119, 408-227-9540, 408 227-7126 (fax), tguster@greatoakswater.com.